

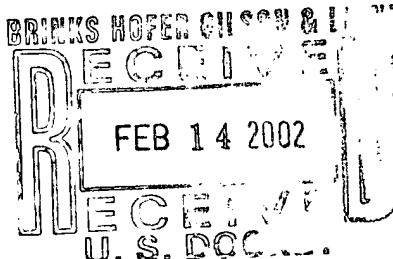
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/024,866         | 12/17/2001          | Jixue J. Liu          | 10736/15               |

CONFIRMATION NO. 3693

BRINKS HOFER GILSON & LIONE  
POST OFFICE BOX 10395  
CHICAGO, IL 60610



FORMALITIES LETTER



Date Mailed: 02/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

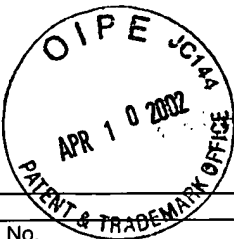
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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| TRANSMITTAL LETTER   |                                  |                        | Case No. 10736/15      |
|--|----------------------------------|------------------------|------------------------|
| Serial No.<br>10/024,866   | Filing Date<br>December 17, 2001 | Examiner<br>Unassigned | Group Art Unit<br>2838 |
| Inventor(s)<br>Liu, et al.   |                                  |                        |                        |
| Title of Invention<br>SYSTEM AND METHOD FOR INTELLIGENT LOAD SHARING WITH POWER LIMITING SCHEME FOR MULTIPLE POWER SUPPLIES CONNECTED TO A COMMON LOAD |                                  |                        |                        |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Response to Notice to File Missing Parts of Application; Return Copy of Missing Parts Notice; Declaration; Power of Attorney; Assignment Recordal and Assignment; Checks in the amount of \$130 and \$40; Postcard receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total                                     |                                  | Minus |                                 |               |
| Indep.                                    |                                  | Minus |                                 |               |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               |

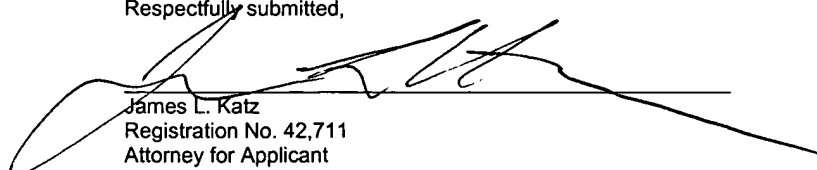
| Small Entity    |           |
|-----------------|-----------|
| Rate            | Add'l Fee |
| x \$9=          |           |
| x 40=           |           |
| +\$135=         |           |
| Total add'l fee | \$        |

or

| Other Than Small Entity |           |
|-------------------------|-----------|
| Rate                    | Add'l Fee |
| x \$18=                 |           |
| x \$80=                 |           |
| +\$270=                 |           |
| Total add'l fee         | \$        |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ Checks in the amount of \$130 and \$40 to cover the filing fees are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
James L. Katz  
Registration No. 42,711  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

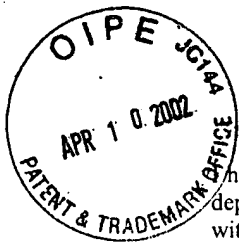
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 26, 2002.

Date: 3/26/02

Signature: 

rev. Dec.-00

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I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on March 26, 2002

\_\_\_\_\_  
Date of Deposit

\_\_\_\_\_  
James L. Katz, Reg. No. 42,711

\_\_\_\_\_  
Name of applicant, assignee or  
Registered Representative

\_\_\_\_\_  
Signature

\_\_\_\_\_  
3/26/02  
Date of Signature

Case No. 10736/15

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Liu, et al.

Serial No.: 10/024,866

Filed: December 17, 2001

For: SYSTEM AND METHOD FOR  
INTELLIGENT LOAD SHARING  
WITH POWER LIMITING SCHEME  
FOR MULTIPLE POWER SUPPLIES  
CONNECTED TO A COMMON  
LOAD

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

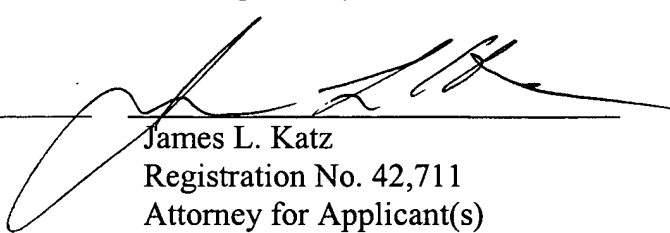
Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated February 7, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$130 for balance of other than small entity filing fee:
- ☐ Filing Fee of \$\_\_\_\_.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$\_\_\_\_.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Assignment Recordal, Assignment and fee.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: March 26, 2002

  
James L. Katz  
Registration No. 42,711  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

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